D-instantons in Calabi-Yau compactifications

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S.A., A.Sen, B.Stefanski arXiv:2108.04265 arXiv:2110.06949

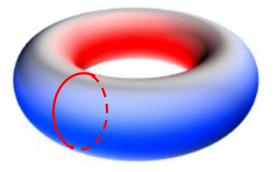
See talk of Manki Kim for the follow-up on D-instantons in CY orientifolds S.A., A.Firat, M.Kim, A.Sen, B.Stefanski arXiv:2204.02981

> String Field Theory 2022 Prague

Motivation

Instantons in string theory – *Euclidean branes* wrapped on non-trivial cycles of compactification manifold

Although exponentially suppressed in small g_s limit, they play important role for various reasons:



- crucial for non-perturbative dualities and for going beyond the perturbative formulation
- essential for moduli stabilization
- contain information on numerical invariants of compactification manifold

entropy of BPS black holes,

modularity and all that...

But in contrast to gauge theories, until 2020, *a direct computation* of instanton effects suffered from *ambiguities*!!!

Breakthrough: understanding infrared and zero mode divergences through string field theory [A.Sen]

A recipe how to deal with zero modes to obtain finite results

Goal

Perfect *match* with results These ideas have been applied to: based on matrix models non-critical string theory [Sen `21, Eniceicu, Mahajan, Murdia, Sen `22, Chakravarty, Sen 22] Type IIB string theory in flat space S-duality [Sen `21] Type II string theory on a Calabi-Yau threefold Supersymmetry, S-duality, [S.A.,Sen,Stefanski `21] mirror symmetry Type II string theory on a Calabi-Yau orientifold new result [S.A., Firat, Kim, Sen, Stefanski 22] D-instanton induced see the talk of Manki Kim superpotential I'll explain • what we compute what was known

how we compute

known before

The plan of the talk

- Review of instanton corrections in CY compactifications
 Hypermultiplet metric and D-instantons
- 2. D-instanton corrections to string amplitudes: problems and their resolution
- 3. Computation of (some) relevant contributions in type IIA
- 4. D-instantons in Type IIB
- 5. Conclusions

Instanton corrections in CY compactifications

The effective action of Type II string theory on a CY threefold is determined by the metric on the moduli space $\mathcal{M}_{VM} \times \widetilde{\mathcal{M}_{HM}}$ quaternion-Kähler no corrections in string coupling corrections in string coupling

• Classical metric (c-map)

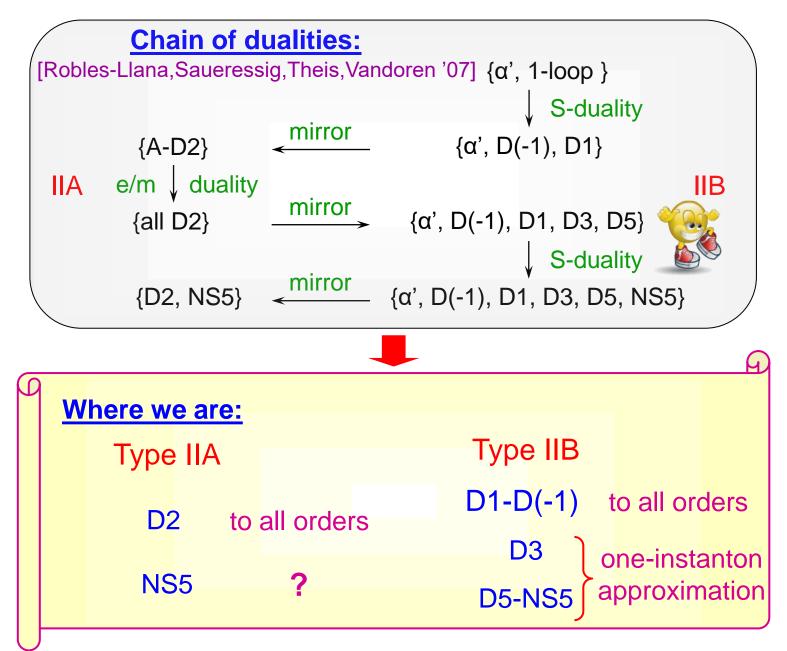
 $ds^{2} = d\phi^{2} - e^{\phi} (Im\mathcal{N}^{-1})^{\Lambda\Sigma} \left(d\tilde{\zeta}_{\Lambda} - \mathcal{N}_{\Lambda\Lambda'} d\zeta^{\Lambda'} \right) \left(d\tilde{\zeta}_{\Sigma} - \bar{\mathcal{N}}_{\Sigma\Sigma'} d\zeta^{\Sigma'} \right)$ $+ \frac{1}{4} e^{2\phi} \left(d\sigma + \tilde{\zeta}_{\Lambda} d\zeta^{\Lambda} - \zeta^{\Lambda} d\tilde{\zeta}_{\Lambda} \right)^{2} + 4\mathcal{K}_{a\bar{b}} dz^{a} d\bar{z}^{\bar{b}}$ RR-scalars $(e^{\phi} \sim g_{s}^{2})$ $\mathcal{N}_{\Lambda\Sigma}, \mathcal{K} - determined by holomorphic prepotential F(z)$ [Antoniadis, Minasian, Theisen, Vanhove '03]

1-loop correction

[Antoniadis,Minasian,Theisen,Vanhove '03 Robles-Llana,Saueressig,Vandoren '06, S.A. '07]

• D-brane instantons $e^{-2\pi|Z_{\gamma}|/g_s-2\pi i(q_{\Lambda}\zeta^{\Lambda}-p^{\Lambda}\tilde{\zeta}_{\Lambda})}$ • NS5-brane instantons $e^{-2\pi|k|\mathcal{V}/g_s^2-i\pi k\sigma}$ d_{cycle} 0 1 2 3 4 5 6 IIA : × D2 × IIB : D(-1) D1 D3 D5

Instanton corrections from dualities



D-instanton corrected metric

Instanton corrections are encoded into the *holomorphic contact structure* on the *twistor space* over $M_{\rm HM}$

In practice: they can be extracted from *holomorphic Darboux coordinates* determined as solutions of integral equations

[S.A., Pioline, Saueressig, Vandoren '08]

$$\begin{aligned} \mathcal{X}_{\gamma}(t) &= \mathcal{X}_{\gamma}^{\mathrm{sf}}(t) \exp \left[\frac{1}{4\pi \mathrm{i}} \sum_{\gamma'} \Omega_{\gamma'} \langle \gamma, \gamma' \rangle \int_{\ell_{\gamma}} \frac{\mathrm{d}t'}{t'} \frac{t+t'}{t-t'} \log \left(1 - \mathcal{X}_{\gamma'}(t') \right) \right] \\ \mathcal{X}_{\gamma}^{\mathrm{sf}}(t) &= e^{-\frac{2\pi \mathrm{i}}{g_{s}} \left(t^{-1} Z_{\gamma} - t \bar{Z}_{\gamma} \right) - 2\pi \mathrm{i} (q_{\Lambda} \zeta^{\Lambda} - p^{\Lambda} \tilde{\zeta}_{\Lambda})} \\ \gamma &= (p^{\Lambda}, q_{\Lambda}) \\ \gamma &= (p^{\Lambda}, q_{\Lambda}) \\ Z_{\gamma} &= q_{\Lambda} z^{\Lambda} - p^{\Lambda} F_{\Lambda} \\ - \operatorname{central charge} \end{aligned}$$
 DT invariants of CY he same as integral equation of Gaiotto-Moore-Neitzke for N=2 SYM / S^{1} \end{aligned}

Given $\mathcal{X}_{\gamma}(t)$, there is a long and tedious procedure to extract the metric It was realized in [S.A., Banerjee '14]

Small string coupling limit

We are interested only in the leading corrections in the small g_s limit in a given topological sector, i.e. for fixed axionic coupling $\Theta_{\gamma} \equiv q_{\lambda} \zeta^{\Lambda} - p^{\Lambda} \tilde{\zeta}_{\Lambda}$

All terms *non-linear* in DT invariants are subleading (no need to solve integral equations)

$$\mathrm{d}s_{\mathrm{inst}}^2 = \sum_{\gamma} \frac{\Omega_{\gamma} \, e^{(5\phi - \mathcal{K})/4}}{64\pi \sqrt{|Z_{\gamma}|}} \left(\sum_{k=1}^{\infty} k^{-1/2} \, e^{-k\mathcal{T}_{\gamma}} \right) \left(\mathcal{A}_{\gamma}^2 + (\cdots) \mathrm{d}\mathcal{T}_{\gamma} \right)$$

such terms will be ignored

$$\mathcal{A}_{\gamma} = |Z_{\gamma}| e^{(\mathcal{K}+\phi)/2} \left(\mathrm{d}\sigma + \tilde{\zeta}_{\Lambda} \mathrm{d}\zeta^{\Lambda} - \zeta^{\Lambda} \mathrm{d}\tilde{\zeta}_{\Lambda} + 8e^{-\phi} \mathrm{Im}\partial \log(e^{\mathcal{K}} Z_{\gamma}) \right) - 4\mathrm{i} \,\mathcal{C}_{\gamma}$$

 $\mathcal{T}_{\gamma} = 8\pi e^{(\mathcal{K}-\phi)/2} |Z_{\gamma}| + 2\pi i \Theta_{\gamma}$ – instanton action

where $C_{\gamma} = -\frac{1}{2} (\mathrm{Im}F)^{\Lambda\Sigma} \left(q_{\Lambda} - \mathrm{Re}F_{\Lambda\Xi}p^{\Xi} \right) \left(\mathrm{d}\tilde{\zeta}_{\Sigma} - \mathrm{Re}F_{\Sigma\Theta}\mathrm{d}\zeta^{\Theta} \right) - \frac{1}{2} \mathrm{Im}F_{\Lambda\Sigma} p^{\Lambda} \mathrm{d}\zeta^{\Sigma}$

Instanton corrections to string amplitudes

Amplitudes in the presence of instantons:

 $\langle \mathcal{O} \rangle = \frac{\int d\varphi_{\mathbf{p}} e^{S_{\mathbf{p}}} \mathcal{O} + e^{-\mathcal{T}} \int d\varphi_{\mathbf{i}} e^{S_{\mathbf{in}}} \mathcal{O}}{\int d\varphi_{\mathbf{p}} e^{S_{\mathbf{p}}} + e^{-\mathcal{T}} \int d\varphi_{\mathbf{i}} e^{S_{\mathbf{in}}}} \approx \langle \mathcal{O} \rangle_{\mathbf{p}} + e^{-\mathcal{T}} \left[\frac{\int d\varphi_{\mathbf{i}} e^{S_{\mathbf{in}}} \mathcal{O}}{\int d\varphi_{\mathbf{p}} e^{S_{\mathbf{p}}}} - \frac{\int d\varphi_{\mathbf{p}} e^{S_{\mathbf{p}}} \mathcal{O}}{\int d\varphi_{\mathbf{p}} e^{S_{\mathbf{p}}}} \frac{\int d\varphi_{\mathbf{i}} e^{S_{\mathbf{in}}}}{\int d\varphi_{\mathbf{p}} e^{S_{\mathbf{p}}}} \right]$

includes disconnected and bubble diagrams such that

• each have either a vertex operator insertion or a boundary on D-instanton

at least one diagram has both

The leading instanton contribution to n-point function:

$$\left\langle \prod_{i=1}^{n} \mathcal{O}_{i} \right\rangle_{\text{inst}} = e^{-\mathcal{T}} \exp\left[\bigcirc \right] \prod_{i=1}^{n} \bigcirc_{i}$$

But there are problems:

- there are divergences due to zero modes
- the annulus amplitude formally vanishes

Example: in type IIB in 10d

$$\int_0^\infty \frac{dt}{2t} \left[\frac{1}{2} \eta(\mathrm{i}t)^{-12} \left(\vartheta_3(0,\mathrm{i}t)^4 - \vartheta_4(0,\mathrm{i}t)^4 - \vartheta_2(0,\mathrm{i}t)^4 + \vartheta_1(0,\mathrm{i}t)^4 \right) \right] = 0$$

Sen '20

Recipe

All divergences can be understood from *string field theory* [Sen '20]

• the zero modes related to the collective coordinates of the D-instanton should be left unintegrated till the end of calculation

- bosonic zero modes produce the momentum conserving delta-function
- fermionic zero modes require insertion of zero mode vertex operators into the disk diagrams

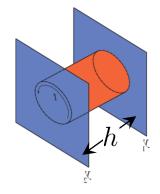
• the divergence due to ghost zero modes arises due to the braekdown of the Seigel gauge $b_0 |\Psi\rangle = 0$ used to get the worldsheet formulation, which is cured by working with a gauge invariant path integral

• other zero modes (if present) are integrated with a non-linear effective action

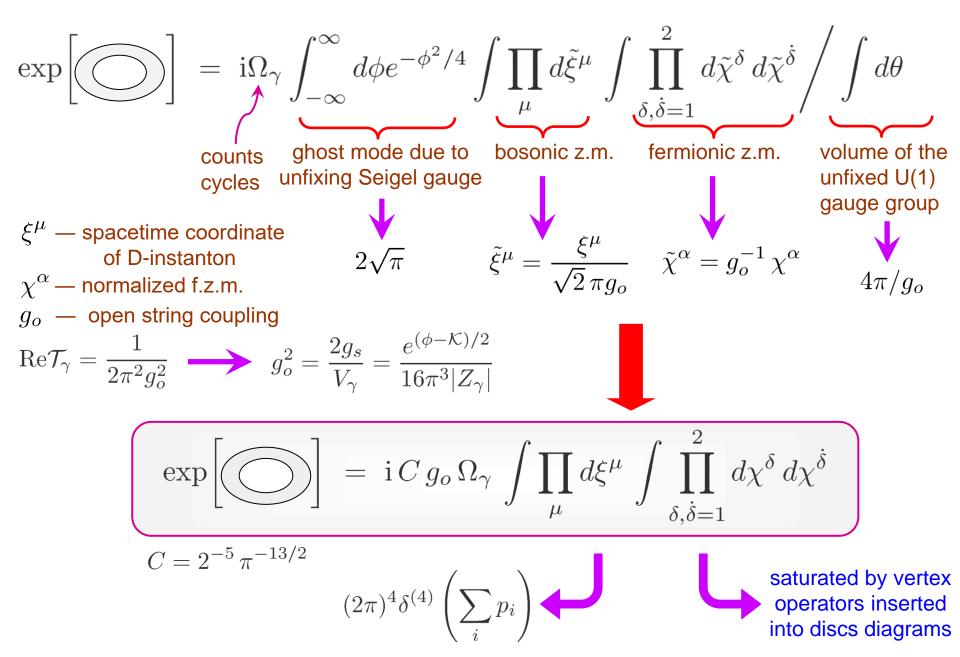
To extract a finite result — regularization: *shifted boundary conditions*

 $L_0|\text{zero mode}\rangle = \frac{h}{|\text{zero mode}\rangle}$

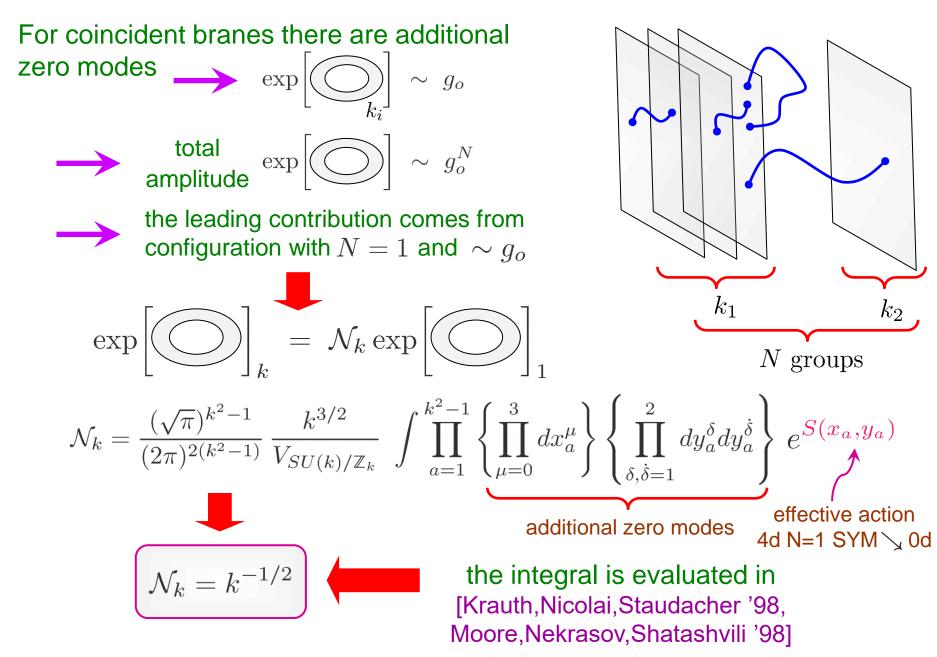
After resolving all issues, the regularization can be safely removed $h \rightarrow 0$



Annulus amplitude from string field theory



Annulus amplitude for multiple instantons



Metric vs. curvature

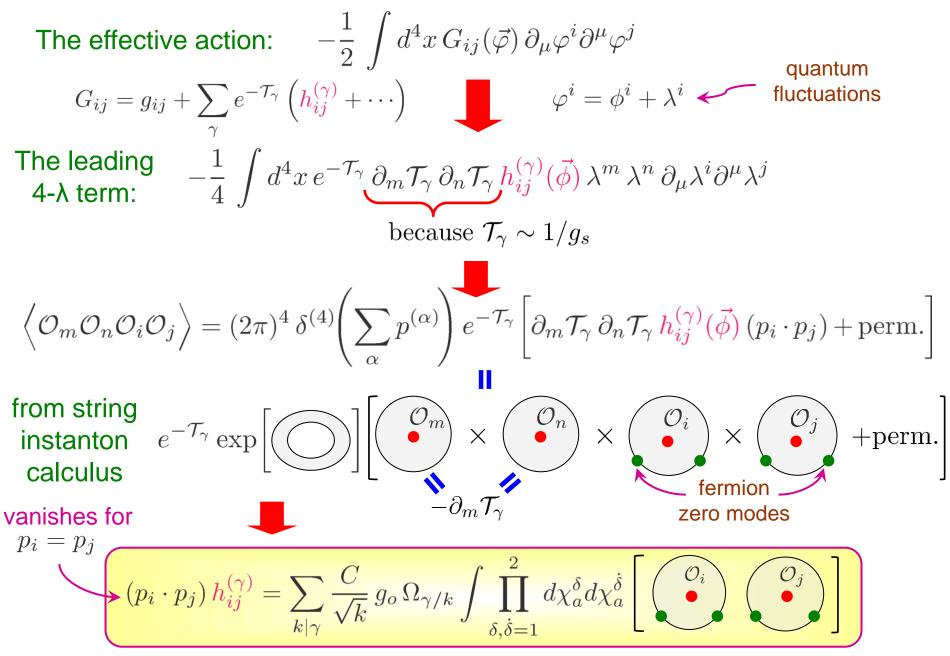
We are interested in the effective action for massless scalars. For such fields, 2- and 3-point amplitudes vanish \longrightarrow we need *4-point* function

The simplest 4-point function affected by the metric on \mathcal{M}_{HM} is generated by $\int d^4x \, \mathcal{R}_{ijkl}(\chi^i \bar{\chi}^j)(\chi^k \bar{\chi}^l)$ formions from

Symmetric part of (the Sp(n) part of) the curvature on \mathcal{M}_{HM} very complicated! fermions from hypermultiplets



General structure I



General structure II

$$\begin{array}{c}
 \mathcal{O}_m \\
 \bullet \end{array} = \mathbf{i} \, a_m(\vec{\varphi}) \, p_\mu \, \gamma^\mu_{\dot{\alpha}\alpha} \, \chi^\alpha \chi^{\dot{\alpha}}$$

$$ds_{\text{inst}}^2 = \sum_{\gamma} 2\pi e^{\phi} g_o \Omega_{\gamma} \left(\sum_{k=1}^{\infty} k^{-1/2} e^{-kT_{\gamma}} \right) \left(\sum_m a_m \, d\lambda^m \right)^2 + \mathcal{O}(dT_{\gamma})$$

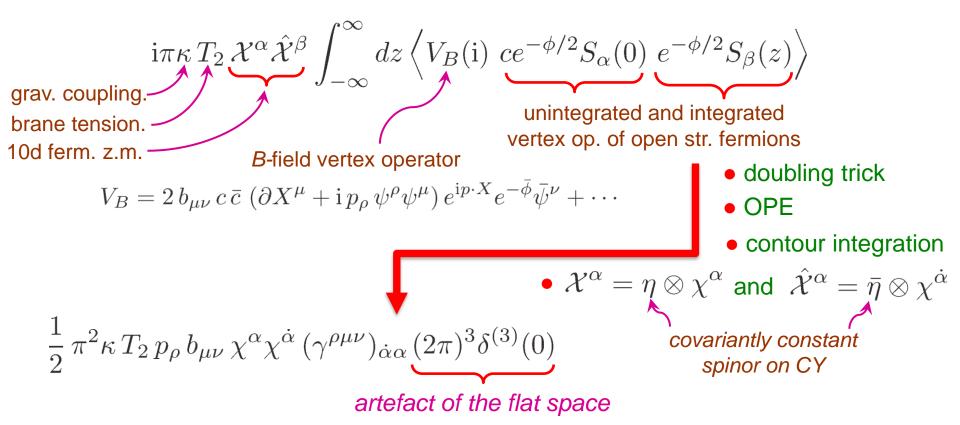
Caveat: this procedure is insensitive to the field redefinitions

Example 1: NS-axion contribution

It remains to compute

$$\underbrace{\begin{array}{c} \mathcal{O}_{m} \\ \bullet \end{array}}_{\text{for}} = \mathrm{i} \, a_{m}(\vec{\varphi}) \, p_{\mu} \, \gamma^{\mu}_{\dot{\alpha}\alpha} \, \chi^{\alpha} \chi^{\dot{\alpha}} \\ \mathrm{for} \quad m = \sigma, \ (\zeta^{\Lambda}, \tilde{\zeta}_{\Lambda}), \ z^{a}, \ \phi$$

NS-axion is the scalar dual to the B field. Thus, we have to analyze:



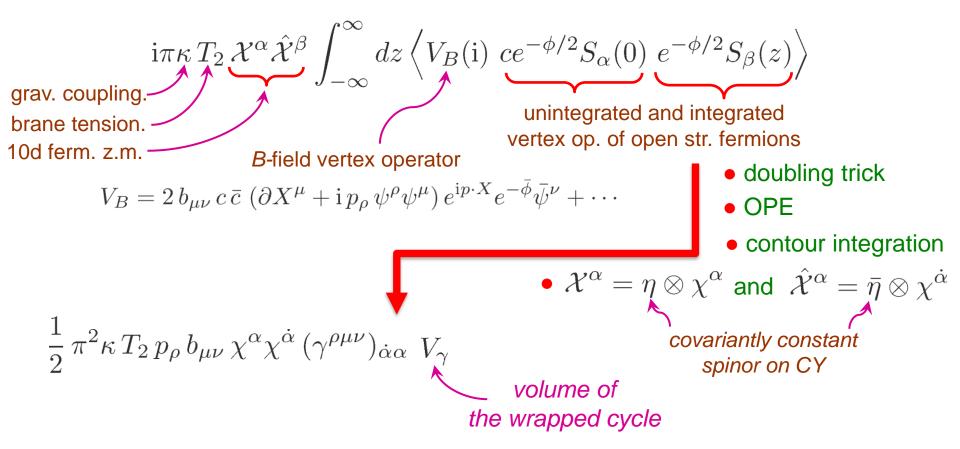
Example 1: NS-axion contribution

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$$\underbrace{\stackrel{\mathcal{O}_m}{\bullet}}_{\text{for}} = \mathrm{i} \, a_m(\vec{\varphi}) \, p_\mu \, \gamma^\mu_{\dot{\alpha}\alpha} \, \chi^\alpha \chi^{\dot{\alpha}}$$

$$for \quad m = \sigma, \ (\zeta^\Lambda, \tilde{\zeta}_\Lambda), \ z^a, \ \phi$$

NS-axion is the scalar dual to the B field. Thus, we have to analyze:



Dualization

But we still have to go from the B field to the NS axion

- generalize the previous construction from scalars to 2-forms
- perform dualization in the presence of instanton corrections

$$\int d^4x \left(\frac{f}{12} H_{\mu\nu\rho} H^{\mu\nu\rho} - \frac{1}{6} \varepsilon^{\mu\nu\rho\tau} H_{\mu\nu\rho} A_{\tau} \right) \xrightarrow{\text{duality}} \int \frac{d^4x}{2f} \left(\partial_{\mu}\sigma + A_{\mu} \right) \left(\partial^{\mu}\sigma + A^{\mu} \right) \xrightarrow{\text{cS type}}_{\text{of coupling}} H^{\mu\nu\rho} = f^{-1} \varepsilon^{\mu\nu\rho\tau} \left(\partial_{\tau}\sigma + A_{\tau} \right)$$

The dual actions are not equal, but their deformations due to $f \rightarrow f + \delta f$ are *equal* (to the first order) on the undeformed duality relation

It is enough to substitute into the disk 1-point function

Example 2: RR-field contribution

$$\underbrace{\mathcal{O}_{RR}}_{\bullet} = i\pi\kappa T_2 \,\mathcal{X}^{\alpha} \hat{\mathcal{X}}^{\beta} \int_{-\infty}^{\infty} dz \left\langle V_{RR}(i) \ c e^{-\phi/2} S_{\alpha}(0) \ e^{-\phi/2} S_{\beta}(z) \right\rangle$$

New ingredient: for the doubling trick, we need to use the boundary condition $\bar{S}^{\delta} = \frac{1}{3!} \, v_{\gamma, IJK} \, (\Gamma^{IJK})^{\delta\delta'} S_{\delta'}$ for the spin field volume form of the wrapped 3-cycle $-\mathrm{i}\frac{\pi^2}{36}\,\kappa\,T_2\,\chi^{\alpha}\chi^{\dot{\beta}}\,p_{\mu}\int_{L_{\gamma}}d^3x\,\,\tilde{C}_{\mathbf{pqr}}\,v_{\gamma,\mathbf{ijk}}\,(\bar{\eta}\Gamma^M\Gamma^{\mu\mathbf{pqr}}\Gamma^{\mathbf{ijk}}\Gamma_M\eta)_{\dot{\beta}\alpha}$ Kähler form on CY $\bar{\eta}\Gamma^{\mathbf{ij}}\eta = \mathrm{i}\omega^{\mathbf{ij}} = -\mathrm{i}J^{\mathbf{i}}_{\mathbf{k}}g^{\mathbf{kj}}$ $-4\mathrm{i}\,\pi^2\kappa\,T_2\,(\gamma^\mu)_{\dot{\beta}\alpha}\,\chi^\alpha\chi^{\dot{\beta}}\,p_\mu\,\int_I\,\left(2\,\tilde{C}+\mathrm{i}\star\tilde{C}-3\mathrm{i}\,J(\tilde{C})\right)$ complex structure **x** the relative normalization of \tilde{C} and C

Evaluation of the integrals

It remains to evaluate 3 integrals:

$$\int_{L_{\gamma}} C = q_{\Lambda}\zeta^{\Lambda} - p^{\Lambda}\tilde{\zeta}_{\Lambda} = \Theta_{\gamma}$$

$$\int_{L_{\gamma}} C = 2\mathscr{C}_{\gamma} - 4e^{\mathcal{K}}\operatorname{Re}\left[\bar{Z}_{\gamma}(z^{\Lambda}\tilde{\zeta}_{\Lambda} - F_{\Lambda}\zeta^{\Lambda})\right]$$

$$\int_{L_{\gamma}} J(C) = -\frac{2}{3}\,\mathscr{C}_{\gamma} - \frac{4}{3\mathcal{K}}\operatorname{Re}\left[\bar{Z}_{\gamma}(z^{\Lambda}\tilde{\zeta}_{\Lambda} - F_{\Lambda}\zeta^{\Lambda})\right]$$
where $\mathscr{C}_{\gamma} = -\frac{1}{2}(\operatorname{Im}F)^{\Lambda\Sigma}\left(q_{\Lambda} - \operatorname{Re}F_{\Lambda\Xi}p^{\Xi}\right)\left(\tilde{\zeta}_{\Sigma} - \operatorname{Re}F_{\Sigma\Theta}\zeta^{\Theta}\right) - \frac{1}{2}\operatorname{Im}F_{\Lambda\Sigma}p^{\Lambda}\zeta^{\Sigma}$

$$\star \alpha_{\Lambda} = \left(\operatorname{Re}\mathcal{N}(\operatorname{Im}\mathcal{N})^{-1}\right)_{\Lambda}^{\Sigma}\alpha_{\Sigma} - \left(\operatorname{Im}\mathcal{N} + \operatorname{Re}\mathcal{N}(\operatorname{Im}\mathcal{N})^{-1}\operatorname{Re}\mathcal{N}\right)_{\Lambda\Sigma}\beta^{\Sigma}$$
Suzuki '95
$$\star \beta^{\Lambda} = (\operatorname{Im}\mathcal{N}^{-1})^{\Lambda\Sigma}\alpha_{\Sigma} - \left(\operatorname{Im}\mathcal{N})^{-1}\operatorname{Re}\mathcal{N}\right)_{\Sigma}\beta^{\Sigma}$$

$$\mathcal{N}_{\Lambda\Sigma} = \bar{F}_{\Lambda\Sigma} + 2\mathrm{i}\frac{(\operatorname{Im}Fz)_{\Lambda}(\operatorname{Im}Fz)_{\Sigma}}{(z\operatorname{Im}Fz)}$$
Alternative basis: $\{\Omega, \chi_{a}, \bar{\chi}_{a}, \bar{\Omega}\} \in H^{3} = H^{3,0} \oplus H^{2,1} \oplus H^{1,2} \oplus H^{0,3}$

$$\chi_{a}(z) = \partial_{z^{a}}\Omega + \Omega\mathcal{K}_{a}$$

$$\mathcal{R}elations: \ \bar{\rho} = -\mathrm{ie}^{\mathcal{K}}\left(z^{\Lambda}\tilde{\zeta}_{\Lambda} - F_{\Lambda}\zeta^{\Lambda}\right)$$
Im $(\varrho^{a}\partial_{z^{a}}Z_{\gamma} + (\rho + \varrho^{a}\mathcal{K}_{a})Z_{\gamma}) = \mathscr{C}_{\gamma}$

Final result

$$a_{\Lambda}d\zeta^{\Lambda} + a^{\Lambda}d\tilde{\zeta}_{\Lambda} = -2\pi^{3} \left[d\Theta_{\gamma} + 2i C_{\gamma} \right]$$

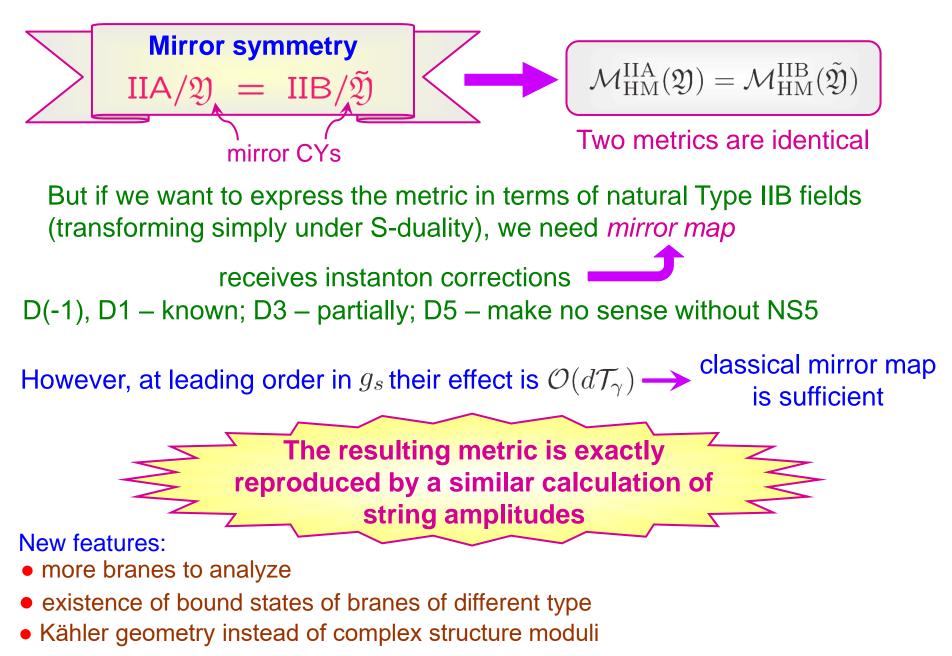
$$a_{z^{a}}dz^{a} + a_{\bar{z}^{a}}d\bar{z}^{a} = i\pi^{2}\operatorname{Re}\mathcal{T}_{\gamma}\,\bar{\partial}\log(e^{\mathcal{K}}\bar{Z}_{\gamma})$$

$$a_{\phi}d\phi = \frac{i\pi^{2}}{2}\operatorname{Re}\mathcal{T}_{\gamma}\,d\phi$$

$$ds_{\operatorname{inst}}^{2} = \sum_{\gamma} 2\pi e^{\phi}\,g_{o}\,\Omega_{\gamma}\left(\sum_{k=1}^{\infty}k^{-1/2}\,e^{-k\mathcal{T}_{\gamma}}\right)\left(\sum_{m}a_{m}\,d\lambda^{m}\right)^{2} + \mathcal{O}(d\mathcal{T}_{\gamma})$$

Perfectly coincides with the instanton corrected metric predicted by dualities!

Type IIB and mirror symmetry



Conclusions

• String field theory is able to fix all apparent divergences and ambiguities in instanton contributions to string amplitudes

- String amplitudes perfectly reproduce the results predicted by dualities, which provides a highly non-trivial test for both approaches
- There is a way to extract directly the *metric* on the moduli space and not only its *curvature*

see Manki's talk

Some open problems:

- Compute instanton corrections where dualities do not help (phenomenologically relevant $\mathcal{N}=1$ string compactifications)
- Go beyond the leading order in the string coupling
- Get insights about NS5-brane instantons in CY compactifications which remain not fully understood

